

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trudemark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viiginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

NIXON PEABODY, LLP

401 9TH STREET, NW

WASINGTON, DC 20004-2128

22204

SUITE 900

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/807,472

03/24/2004

Osamu Nakamura

740756-2722

CONFIRMATION NO. 2927

FORMALITIES LETTER

\*OC00000012871654\*

Date Mailed: 06/04/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$900 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

08/05/2004 WABDELR1 00000055 192380 1

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center V / Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

AUS 0 3 2004

IFW \$

<b>TRANSMITTAL</b>
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/807,472 March 24, 2004 Osamu NAKAMURA					
Filing Date						
First Named Inventor						
Group Art Unit	1763					
Confirmation No.	2927					
Attorney Docket Number	740756-2722					

ENCLOSURES (check all that apply)									
Fee Transmittal Form  Fee Attached  Amendment / Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	Assignm (for an A) Drawing Licensin Petition Applicat Power of Change Termina Request	Assignment Papers (for an Application)  Drawing(s)  Declaration and Power of Attorney  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application		□ After Allowance Communication to Gro □ Appeal Communication to Board of Appeals and Interferences □ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) □ Proprietary Information □ Status Letter □ Application Data Sheet □ Request for Corrected Filing Receipt with Enclosures □ A self-addressed prepaid postcard for acknowledging receipt □ Other Enclosure(s) (please identify below					
	Remarks		thereby authorized to charge any additional fees anyments to Deposit Account No. 19-2380 for the other.						
SIGNAT	URE OF APPL	ICANT, ATTORNEY, O	R A	GENT					
Firm or Individual name  Jeffrey L Nixon Pe 401 9 <sup>th</sup> S Suite 900 Washing	Jeffrey L. Costellia Nixon Peabody LLP 401 9th Street, N.W. Suite 900 Washington, D.C. 20004-2128  Avgust 3, 2004								

## FEE TRANSMITTAL AUG 0 3 2004 FOR FY 2004 Pate fees are subject to annual revision.

Applicate laims small entity status. See 37 CFR 1.27
TOTAL AMOUNT OF PAYMENT \$940.00

I		Complete if Known				
ſ	Application Number	10/807,472				
ſ	Filing Date	March 24, 2004				
I	First Named Inventor	Osamu NAKAMURA				
ſ	Confirmation No.	2927				
ľ	Art Unit	1763				
	Attorney Docket No.	740756-2722				

METHOD OF PAYMENT (check all that apply)	l	FEE CALCULATION (continued)						
Check Credit Card Money Other None	3. ADDITIONAL FEES							
Deposit Account:	Large Entity   Small Entity		Entity	_				
Deposit 10, 2280 (740756, 2722)	Fee	Fee	Fee	Fee	Fee Description			
Account Number 19-2380 (740756-2722)	1051	( <b>\$</b> )	2051	<b>(\$)</b> 65	Surcharge - late filing fee or oath	\$130.00		
	1052	50	2052	25	Surcharge - late provisional filing fee or cover			
					sheet			
Deposit Account Nixon Peabody LLP	1053	130	1053	130	Non-English specification			
Name 141X0111 Cabody LEI	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	n		
The Commissioner is authorized to: (check all that apply)	1804	920*	1804	920*	Requesting publication of SIR prior to Exam action	iner		
Charge fee(s) indicated below Credit any overpayments	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examine	r		
Charge any additional fee(s)	1251	110	2251	55	action Extension for reply within first month			
Charge fee(s) indicated below, except for the filing fee	1252	420	2252	210	Extension for reply within second month			
to the above-identified deposit account.	1253	950	2253	475	Extension for reply within third month			
FEE CALCULATION	1254	1,480	2254	740	Extension for reply within fourth month			
1. BASIC FILING FEE	1255	2,010	2255	1,005	Extension for reply within fifth month			
Large Entity Small Entity	1401	330	2401	165	Notice of Appeal			
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	1402	330	2402	165	Filing a brief in support of an appeal			
Code (3) Code (3) Peerald	1403	290	2403	145	Request for oral hearing			
1001 770 2001 385 Utility filing fee \$770	1451	1,510	1451	1,510	Petition to institute a public use proceeding			
1002 340 2002 170 Design filing fee	1452	110	2452	55	Petition to revive – unavoidable			
1003 530 2003 265 Plant filing fee	1453	1,330	2453	665	Petition to revive – unintentional			
1004 770 2004 385 Reissue filing fee	1501	1,330	2501	665	Utility issue fee (or reissue)			
1005 160 2005 80 Provisional filing fee	1502	480	2502	240	Design issue fee	-		
1005 100 2005 60 110VIsional lining fee	1503	640	2503	320	Plant issue fee			
SUBTOTAL (1) \$770.00	1460	130	1460	130	Petitions to the Commissioner			
	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1806	180	1806	180	Submission of Information Disclosure Stmt			
Fee from	8021	40	8021	40	Recording each patent assignment per proper	ty \$40.00		
Total Claims	1809	770	2809	385	(times number of properties) Filing a submission after final rejection			
					(37 CFR 1.129(a))			
Independent3 = X = _0 Claims	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))			
Multiple Dependent X = 0	1801	770	2801	385	Request for Continued Examination (RCE)			
Large Entity Small Entity	1802	900	1802	900	Request for expedited examination of a desig	n H		
Fee Fee Fee Fee <u>Fee Description</u>					application			
Code (\$) Code (\$)	Other t	fee (specif	fy)					
1202         18         2202         9         Claims in excess of 20           1201         86         2201         43         Independent claims in excess of 3								
•	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) \$170.00							
1203 290 2203 145 Multiple dependent claim, if not paid 1204 86 2204 43 ** Reissue independent claims over	:							
original patent						Г		
1205 18 2205 9 ** Reissue claims in excess of 20 and								
over original patent SUBTOTAL (2) (\$) 0								
**or number previously paid, if greater, For Reissues, see above								
SUBMITTED BY  Name (Print/Type)  Jeffrey L. Postellia	Rolls	ration No	, 1	25 492	Complete (if applicable)	2000		
Name (Print/Type)  Jeffrey L. Kostellia		iey/Agen		35,483	Telephone (202) 585-	5000		
Signature / AUII		- *			Date August 3, 2	2004		
/ V								